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International filing date: 22 March 2005 (22.03.2005)

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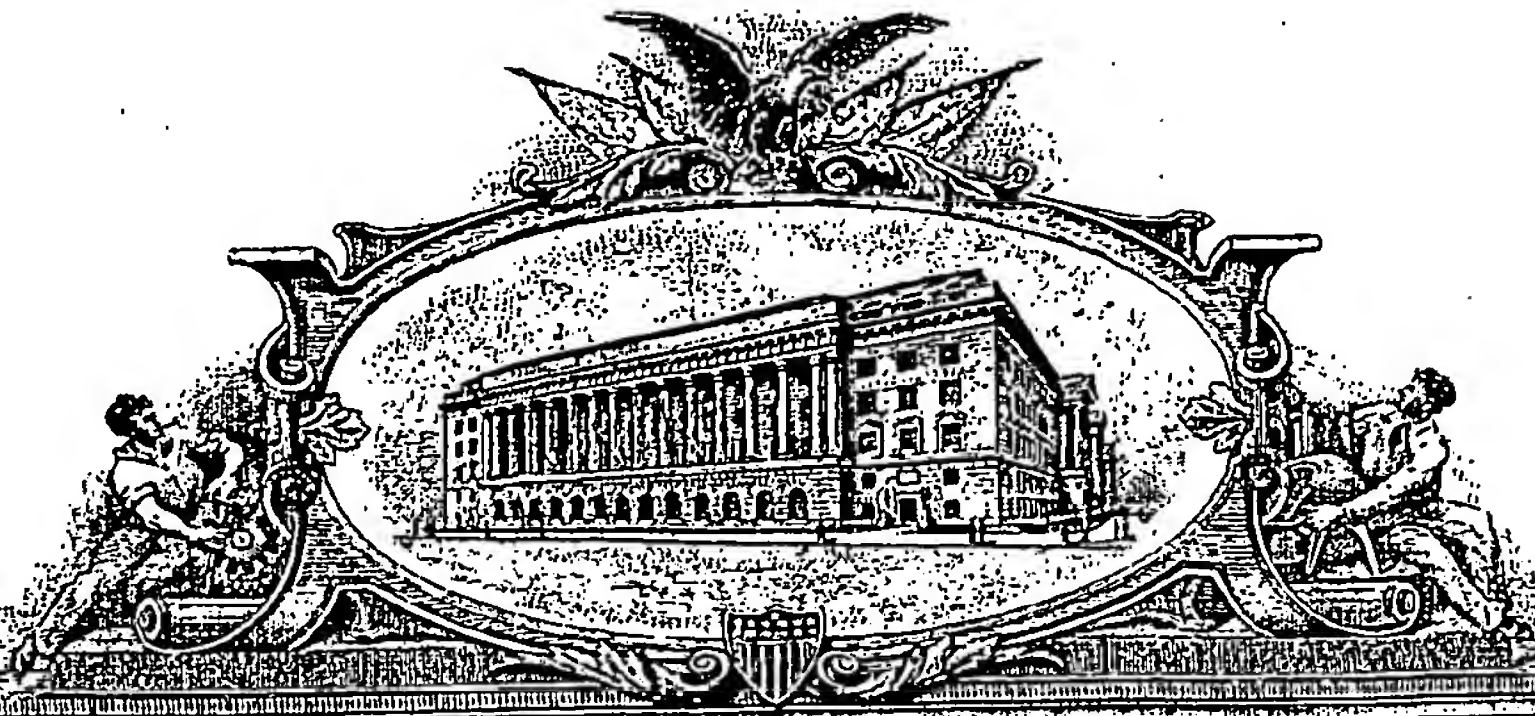
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August 04, 2006

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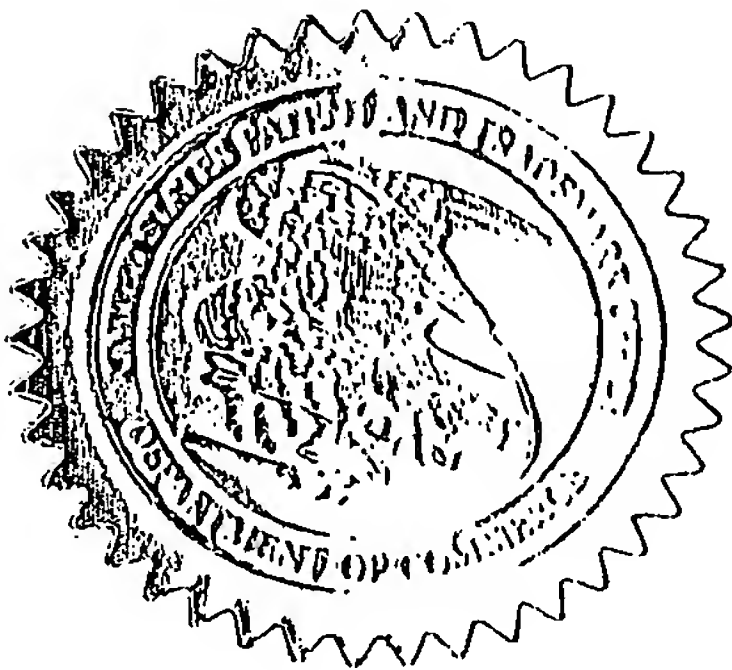
APPLICATION NUMBER: *PCT/US04/33581*

FILING DATE: *October 12, 2004*

RELATED PCT APPLICATION NUMBER: *PCT/US05/09333*

THE COUNTRY CODE AND NUMBER OF YOUR PRIORITY APPLICATION, TO BE USED FOR FILING ABROAD UNDER THE PARIS CONVENTION, IS *USPCT/US04/33581*

**By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office**



L. Edelen

**L. EDELEN
Certifying Officer**

PCT/RO/101 (first sheet)

PCT

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.	PCT/US 04/33581
International Filing Date	(12-10-04) 12 October 2004
PCT INTERNATIONAL APPLICATION	
Na RO/US	
Applicant's or agent's file reference (if desired) (12 characters maximum) IB-2012PCT	

Box No. I TITLE OF INVENTION	
HOLLOW NANOCRYSTALS AND METHOD OF MAKING	
Box No. II APPLICANT <input type="checkbox"/> This person is also inventor	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)	
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA 1111 Franklin Street 12th Floor Oakland, CA 94607-5200 US	
Telephone No.	510-486-6503
Facsimile No.	510-486-7896
Teleprinter No.	
Applicant's registration No. with the Office	
State (that is, country) of nationality:	State (that is, country) of residence:
US	US
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)	
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ALIVISATOS, A. Paul 5941 Estates Drive Oakland, CA 94611 US	
This person is: <input type="checkbox"/> applicant only <input checked="" type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)	
Applicant's registration No. with the Office	
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US	US
This person is applicant for the purposes of: <input checked="" type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<input checked="" type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.	
Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE	
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input checked="" type="checkbox"/> agent <input type="checkbox"/> common representative	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	
NOLD, Charles R. Lawrence Berkeley National Laboratory One Cyclotron Road Mail Stop 90B0104 Berkeley, CA 94720 US	
Telephone No.	510-486-6503
Facsimile No.	510-486-7896
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46,470	
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Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) YIN, Yadong 72 Shoreline Court Richmond, CA 94804 US		This person is: <input type="checkbox"/> applicant only <input checked="" type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office
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This person is applicant for the purposes of: <input checked="" type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box		
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) ERDONMEZ, Can K. 1721 Francisco Street Berkeley, CA 94703 US		This person is: <input type="checkbox"/> applicant only <input checked="" type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office
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This person is applicant for the purposes of: <input checked="" type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box		
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State (that is, country) of nationality:	State (that is, country) of residence:	
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box		
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) 		This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office
State (that is, country) of nationality:	State (that is, country) of residence:	
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box		
<input type="checkbox"/> Further applicants and/or (further) inventors are indicated on another continuation sheet.		

Box No. V DESIGNATIONS

The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.

However,

- ☐ DE Germany is not designated for any kind of national protection
- ☐ KR Republic of Korea is not designated for any kind of national protection
- ☐ RU Russian Federation is not designated for any kind of national protection

(The check-boxes above may be used to exclude (irrevocably) the designations concerned in order to avoid the ceasing of the effect, under the national law, of an earlier national application from which priority is claimed. See the Notes to Box No. V as to the consequences of such national law provisions in these and certain other States)

Box No. VI PRIORITY CLAIM

The priority of the following earlier application(s) is hereby claimed:

Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country or Member of WTO	regional application:* regional Office	international application: receiving Office
item (1) 22 March 2004 (22.03.2004)	60/555,590	US	US	US
item (2)				
item (3)				

- ☐ Further priority claims are indicated in the Supplemental Box.

The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of this international application is the receiving Office) identified above as:

- ☒ all items ☐ item (1) ☐ item (2) ☐ item (3) ☐ other, see Supplemental Box

* Where the earlier application is an ARIPO application, indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed (Rule 4.10(b)(ii)):

Box No. VII INTERNATIONAL SEARCHING AUTHORITY

Choice of International Searching Authority (ISA) (if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):

ISA /US

Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):

Date (day/month/year) Number Country (or regional Office)

Box No. VIII DECLARATIONS

The following declarations are contained in Boxes Nos. VIII (i) to (v) (mark the applicable check-boxes below and indicate in the right column the number of each type of declaration):

		Number of declarations
<input type="checkbox"/> Box No. VIII (i)	Declaration as to the identity of the inventor	:
<input type="checkbox"/> Box No. VIII (ii)	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	:
<input type="checkbox"/> Box No. VIII (iii)	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	:
<input type="checkbox"/> Box No. VIII (iv)	Declaration of inventorship (only for the purposes of the designation of the United States of America)	:
<input type="checkbox"/> Box No. VIII (v)	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	:

Supplemental Box

If the Supplemental Box is not used, this sheet should not be included in the request.

1. If, in any of the Boxes, except Boxes Nos. VIII(i) to (v) for which a special continuation box is provided, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No..." (indicate the number of the Box) and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:
 - (i) If more than two persons are to be indicated as applicants and/or inventors and no "continuation sheet" is available in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;
 - (ii) If, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;
 - (iii) If, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;
 - (iv) If, in addition to the agent(s) indicated in Box No. IV, there are further agents in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;
 - (v) If, in Box No. VI, there are more than three earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI.
2. If the applicant intends to make an indication of the wish that the international application be treated, in certain designated States, as an application for a patent of addition, certificate of addition, inventor's certificate of addition or utility certificate of addition: in such a case, write the name or two-letter code of each designated State concerned and the indication "patent of addition," "certificate of addition," "inventor's certificate of addition" or "utility certificate of addition," the number of the parent application or parent patent or other parent grant and the date of grant of the parent patent or other patent grant or the date of filing of the parent application (Rules 4.11(a)(iii) and 49bis.1(a) or (b)).
3. If the applicant intends to make an indication of the wish that the international application be treated, in the United States of America, as a continuation or continuation-in-part of an earlier application: in such a case, write "United States of America" or "US" and the indication "continuation" or "continuation-in-part" and the number and the filing date of the parent application (Rules 4.11(a)(iv) and 49bis.1(d)).

Box No. IX CHECK LIST; LANGUAGE OF FILING

This international application contains:

(a) in paper form, the following number of sheets:

request (including declaration sheets) : 5
description (excluding sequence listings and/or tables related thereto) : 21
claims : 4
abstract : 1
drawings : 5

Sub-total number of sheets : 36

sequence listings :

tables related thereto :

(for both, actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (c) below)

Total number of sheets : 36

(b) ☐ only in computer readable form (Section 801(a)(i))

(i) ☐ sequence listings

(ii) ☐ tables related thereto

(c) ☐ also in computer readable form (Section 801(a)(ii))

(i) ☐ sequence listings

(ii) ☐ tables related thereto

Type and number of carriers (diskette, CD-ROM, CD-R or other) on which are contained the

☐ sequence listings:

☐ tables related thereto:

(additional copies to be indicated under items 9(ii) and/or 10(ii), in right column)

This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):

1. ☒ fee calculation sheet : 1
2. ☐ original separate power of attorney :
3. ☐ original general power of attorney :
4. ☒ copy of general power of attorney; reference number, if any: : 1
5. ☐ statement explaining lack of signature :
6. ☐ priority document(s) identified in Box No. VI as item(s): :
7. ☐ translation of international application into (language): :
8. ☐ separate indications concerning deposited microorganism or other biological material :
9. ☐ sequence listings in computer readable form (indicate type and number of carriers)
 - (i) ☐ copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application) :
 - (ii) ☐ (only where check-box (b)(i) or (c)(i) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter :
 - (iii) ☐ together with relevant statement as to the identity of the copy or copies with the sequence listings mentioned in left column :
10. ☐ tables in computer readable form related to sequence listings (indicate type and number of carriers)
 - (i) ☐ copy submitted for the purposes of international search under Section 802(b-quater) only (and not as part of the international application) :
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 - (iii) ☐ together with relevant statement as to the identity of the copy or copies with the tables mentioned in left column :
11. ☐ other (specify): :

Figure of the drawings which should accompany the abstract: 1

Language of filing of the international application: English

Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request)

Charles R. Nold, Agent

(12-10-04)

For receiving Office use only

1. Date of actual receipt of the purported international application:

BT03 Rec'd PCT/PTO 12 OCT 2004

3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:

4. Date of timely receipt of the required corrections under PCT Article 11(2):

5. International Searching Authority (if two or more are competent): ISA / US

6. ☐ Transmittal of search copy delayed until search fee is paid

2. Drawings:

☐ received:

☐ not received:

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Date of receipt of the record copy by the International Bureau:

PCT/RO/101 is not a form and does not count as a sheet of the international application.

PCT

FEE CALCULATION SHEET

Annex to the Request

For receiving Office use only

PCT/US 04/33581

International Application No.

(12-10-04) 12 October 2004

Date stamp of the receiving Office

Applicant's or agent's
file reference

IB-2012PCT

Applicant

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA; ALIVISATOS, A. Paul; YIN, Yadong and ERDONMEZ, Can

CALCULATION OF PRESCRIBED FEES

1. TRANSMITTAL FEE 300.00 [T]

2. SEARCH FEE 1,000.00 [S]

International search to be carried out by US
(If two or more International Searching Authorities are competent to carry out the international search, indicate the name of the Authority which is chosen to carry out the international search.)

3. INTERNATIONAL FILING FEE

Where item (b) and/or (c) of Box No. IX apply, enter Sub-total number of sheets } 35
Where item (b) and (c) of Box No. IX do not apply, enter Total number of sheets }

[i1] first 30 sheets 1,134.00 [i1]

[i2] 5 x 12.00 = 60.00 [i2]
number of sheets in excess of 30 fee per sheet

[i3] additional component (only if sequence listings and/or tables related thereto are filed in computer readable form under Section 801(a)(i), or both in that form and on paper, under Section 801(a)(ii)):

400 x = [i3]
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Add amounts entered at i1, i2 and i3 and enter total at 1 1,194.00 [1]

(Applicants from certain States are entitled to a reduction of 75% of the international filing fee. Where the applicant is (or all applicants are) so entitled, the total to be entered at 1 is 25% of the international filing fee.)

4. FEE FOR PRIORITY DOCUMENT (if applicable) 20.00 [P]

5. TOTAL FEES PAYABLE USD 2,514.00
Add amounts entered at T, S, I and P, and enter total in the TOTAL box TOTAL

MODE OF PAYMENT

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☒ Authorization to charge the fee for priority document.

Receiving Office: RO/ US

Deposit Account No.: 12-0690

Date: 11 October 2004

Name: Charles R. Nold

Signature: CR Nold

5

Hollow Nanocrystals and Method of Making

Inventor(s): A.P. Alivisatos
Oakland, CA

10

Yadong Yin
Richmond, CA

Can K. Erdonmez
Berkeley, CA

Assignee: The Regents of the University of California

15

Entity: Small

Hollow Nanocrystals and Method of Making

STATEMENT AS TO RIGHTS TO INVENTIONS MADE UNDER FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

5 The invention described and claimed herein was made in part utilizing funds supplied by the United States Department of Energy under contract NO. DE-AC03-76SF000-98 between the United States Department of Energy and The Regents of the University of California. The government has certain rights to the invention.

PRIORITY

10 This application claims priority to U.S. Provisional applications 60/555,590, filed March 22, 2004, the contents of which are incorporated herein by reference for all purposes.

BACKGROUND OF THE INVENTION

15 Porous solid materials are important in many areas of modern science and technology, including ion exchange, molecular separation, catalysis, chromatography, microelectronics, and energy storage. Notable examples are microporous (< 2 nm) zeolites and mesoporous (2-50 nm) silicate and carbonaceous materials. The ability to manipulate the structure and morphology of porous solids on a nanometer scale would enable greater control of chemical reactions.

20 Previous studies on the interdiffusion of 30-micrometer powders with layered composition showed significant porosity, but the geometry and distribution of the pores were not uniform, probably due to aggregation and still bulk-like dimension of the particles. Recently, significant progress has been made in synthesizing colloidal nanocrystals with well-controlled size, shape and surface properties.

Hollow particles of silica have been made with diameters between 720 and 1000 nm, see *Science*, Vol 282, Issue 5391, 1111-1114, 6 November 1998, the contents of which are hereby incorporated by reference in its entirety for all purposes.

Gold single crystalline nanoboxes have been made, though not on the nanoscale size dimension as taught by the present invention, see Y. Sun, Y. Xia, *Science* **298**, 2176 (2002)., the contents of which are hereby incorporated herein in its entirety for all purposes.

SUMMARY OF THE INVENTION

In one embodiment of the present invention there is a hollow nanocrystal, comprising a nanocrystal shell having a thickness of at least 0.5 nm, said nanocrystal shell enveloping an empty space wherein said nanocrystal shell is not perfectly single crystalline. In a preferred embodiment the hollow nanocrystal has a shell thickness of between about .5 nm and 100 nm. In a more preferred embodiment the hollow nanocrystal has a shell thickness between about 2 nm and 80 nm. In a more preferred embodiment the shell thickness is between about 3 nm and 10 nm. In one embodiment the hollow nanocrystal shell comprises a material selected from the group consisting of Pt, ZnS, ZnSe, ZnTe, ZnO, CoO, Co₃O₄, Fe₂O₃, FeP, Fe₃O₄, FeO, TiO₂, CdS, CdSe, CdTe, HgS, HgSe, HgTe, MgTe, GaN, GaP, GaAs, GaSb, InN, InP, InAs, InSb, AlAs, AlP, AlSb, AlS, Co₉S₈, Co₃S₄, CoSe, GaMnAs, GaInN and InAsN. In one embodiment of the present invention the shape of the hollow nanocrystal is either spherical, branched, tubular or disk. In one embodiment of the present invention the outside diameter of a spherical hollow nanocrystal is between about 1 nm and 500 nm. Preferably the outside diameter is between 5 nm and 100 nm. More preferably the outside diameter is between 10 nm and 50 nm. Even more preferably the outside diameter is between 10 nm and 30 nm. In another embodiment of the invention the hollow nanocrystal shell comprises a binary or ternary compound, wherein said binary and/or ternary compound comprises a first material and a second material, wherein the first material comprises a

Material selected from the group consisting of Pt, Zn, Co, Fe, Ti, Cd, Hg, Mg, Ga, In, Al, Ni, Sn and Bi; and the second material is selected from the group consisting of S, Se, O, P, N, F, Cl, I, Br, As and Sb. In a preferred embodiment the diffusion rate for the first material is different than the diffusion rate for the second material. In one embodiment of the present invention the nanocrystal has a disk shape, and the outside diameter is between about 10 nm to about 200 nm. In a preferred embodiment, the outside diameter of the disk is between about 10 nm and 100 nm. In a more preferred embodiment the outside diameter is between about 25 nm and 50 nm. In another embodiment of the present invention the nanocrystal has a tubular shape, and the length is between about 30 nm to about 500 μ m. In a preferred embodiment the length is between about 50 nm and 200 μ m. In a more preferred embodiment the length is between about 50 nm and 20 μ m.

The present invention contemplates a method of making a hollow nanocrystal, comprising providing a nanocrystal comprising a first material, reacting the nanocrystal with a second material, wherein the first and second material react to form a shell of a hollow nanocrystal. In a preferred embodiment the first material comprises a material chosen from the group consisting Al, Ga, In, Tl, Sn, Pb, Bi, Po, Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Y, Zr, Nb, Mo, Tc, Ru, Rh, Pd, Ag, Cd, La, Hf, Ta, W, Re, Os, Ir, Pt, Au, Hg, Li, Na, K, Rb, Cs, Be, Mg, Ca, Sr, Ba, Ge, Si, Se, Te, FeCo, CoNi and CdZn. In another embodiment the second material comprises a material chosen from the group consisting of S, O, Se, Te, P, N, As, Cl, I, Br and Bi. In one embodiment of the present invention the second material comprises sulfur in solution, and the second material is combined with a solution containing the first material to make a sulfide hollow nanocrystal. In another embodiment the second material comprises O, and a gaseous mixture containing the second material is combined with a solution containing the first material, thereby making an oxide hollow nanocrystal compound. In another embodiment the second material comprises O, and the second material

an solution and is combined with a solution containing the first material, thereby making an oxide hollow nanocrystal compound.

BRIEF DESCRIPTION OF THE DRAWINGS

FIGs 1A-1F show (A) TEM image of Co nanocrystals synthesized by injecting 0.54 g of $\text{Co}_2(\text{CO})_8$ in 3 ml of *o*-dichlorobenzene into 0.1 ml of oleic acid and 0.1 g of trioctylphosphine oxide in 15 ml of *o*-dichlorobenzene at 455 K. (B,D) TEM images of the cobalt sulfide phases synthesized by injecting sulfur in *o*-dichlorobenzene (5 ml) into cobalt nanocrystal solution with different Co/S molar ratios: (B) Co_3S_4 with Co:S=9:12 and (D) Co_9S_8 with Co:S=9:8. The Co_3S_4 particles were synthesized from the Co sample shown in (A), while the Co_9S_8 particles started from another Co sample which has an average diameter around 11 nm. (C,F) HRTEM images of Co_3S_4 (C) and Co_9S_8 (F). (E) XRD patterns of cobalt nanocrystals (a) and cobalt sulfide synthesized with different Co/S molar ratios: (b) 9:5; (c) 9:7; (d) 9:8; (e) 9:10; (f) 9:11; (g) 9:12; and (h) 9:18.

FIGs 2A-2F show evolution of CoO hollow nanocrystals with time by blowing a stream of O_2/Ar (1:4 in volume ratio, 120 ml/min) mixture through a cobalt colloidal solution at 455 K. (A-D) TEM images of the solutions after flowing O_2/Ar for (A) 0 min; (B) 30 min; (C) 80 min; (D) 210 min. (F) shows a HRTEM of a CoO hollow nanocrystal. (E) XRD patterns of the sample obtained from the solution after flow O_2/Ar for (a) 0 min; (b) 2.5 min; (c) 5.5 min; (d) 10 min; (e) 30 min; (f) 80 min; and (g) 210 min.

FIGs 3A-3F show evolution of CoSe hollow nanocrystals with time by injection of a suspension of selenium in *o*-dichlorobenzene into a cobalt nanocrystal solution at 455 K, from (A) 0 sec, (B) 10 sec, (C) 20 sec, (D) 1 min, (E) 2 min and (F) 30 min. The Co/Se molar ratio is 1:1.

FIG 4 shows CdS nanotubes synthesized by injecting 0.047 g sulfur solution in 3 ml of dioctyl ether into a hot cadmium nanowire solution. The cadmium nanowire solution was

Prepared by injecting 0.17 g of $\text{Cd}(\text{CH}_3)_2$ in 1 ml of dioctyl ether into a hot solution of 0.1 ml of oleylamine in 5 ml of dioctyl ether at 573 K.

FIG 5 shows Co_9S_8 disk-shaped hollow nanocrystals synthesized by injecting sulfur in *o*-dichlorobenzene (5 ml) into a disk-shaped cobalt nanocrystal solution with Co/S molar ratio of 9:8 at 455 K. The Co nanodisks were synthesized by injecting 0.54 g of $\text{Co}_2(\text{CO})_8$ in 3 ml of *o*-dichlorobenzene into a hot solution of 0.1 ml of oleic acid, 0.61g hexadecylamine and 0.1 g of trioctylphosphine oxide in 15 ml of *o*-dichlorobenzene at 455 K.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

By "providing a nanocrystal" it is meant any step, process or manipulation that produces a nanocrystal capable of entering into a reaction or process. Typically this step is synthetic, and the nanocrystals are in solution after synthesis. This step may be accomplished by purchasing commercially available nanocrystals.

By "bulk" or "bulk systems" it is meant materials not on the nanoscale size dimension.

By "disk shaped" or "disk" it is meant a relatively cylindrical structure having size dimensions as defined herein.

By "tube shaped" or "tubular" and "tube(s)" it is meant a structure that has a hollow cylindrical shape. The cylinder is not required to be uniform in diameter. It is understood that the tube has two ends that are closed. However, during synthesis it is possible for the ends to become open by accidental fracture.

By "nanocrystal" it is meant to include all shapes, including spheres, disks, tubes, tetrapods, branched and unbranched nanocrystals.

By "core" as recited herein it is meant the first material, also termed the main starting material in the present invention, also termed the preliminary main material. The core material is an element, a compound or a composition that is a nanocrystal.

By "reaction" it is meant to include not only chemical reactions, but also alloy formation.

By "not perfectly single crystalline" it is meant that there are at least grain boundaries or other defects in the nanocrystal shell.

5 By "envelop" it is meant to enclose completely with or as if with a covering. This definition allows for the presence of grain boundaries due to polycrystalline materials.

The hollow nanospheres produced in accordance with the present invention have outside diameters of between about 1 nm-1000 nm. In a preferred embodiment the nanospheres have outside diameters of between 1 nm-500 nm and more preferred is about 5
10 nm-100 nm, even more preferred are 10 nm-50 nm, and even more preferred are 10 nm-30 nm. In one embodiment hollow nanotubes have outside diameters of between about 1 nm-1000 nm, preferably between 5 nm - 500 nm, and more preferably between about 10 nm-250 nm, and even more preferably between 10 nm-100 nm. The length of hollow nanotubes in accordance with the present invention ranges from about 30 nm to 500 μ m, preferably
15 between about 30 nm -250 μ m and more preferably between about 50 nm and 200 μ m, even more preferably between 50 nm-20 μ m. In one embodiment hollow nanodisks have diameters between 10 nm -200 nm, preferably between about 10 nm -100 nm and more preferably about 25 nm-50 nm. In one embodiment the thickness of the disk is between about 1 nm-20 nm, preferably between 2 nm-15 nm and more preferably between 3 nm-10 nm.

20 The ratio of the inside diameter (id) to the outside diameter (od) for hollow nanocrystals, expressed (id)/(od), ranges from between 20-90%. As a non-limiting example CoO and CoS hollow nanospheres made in accordance with this invention have a ratio of about 40%.

The present invention contemplates that the thickness of the hollow nanocrystal wall
25 is controllable by varying the temperature of the reaction and the concentration of the second

Material. In one embodiment of the invention the walls or shell of the hollow nanocrystal range between 0.5 nm and 100 nm, preferably between 2 nm-80 nm and more preferably between 3 nm-50 nm, even more preferably between about 3 nm and 10 nm. By varying the reaction temperature and concentration, the (id)/(od) for CoS may vary between 30%-40%
5 (.3-.4). In a preferred embodiment of the method, it is preferable to control the (id)/(od) by varying the preliminary starting nanocrystal size.

It is understood that in accordance with this invention the shell of the hollow nanocrystals from a continuous three dimensional domain adopting a three dimensional arrangement of atoms in contrast to molecular sheets such as found in graphitic, WS₂ or
10 MoS₂ nanotubes and nanocages.

The crystallinity of the hollow nanocrystal wall, or shell is controllable. In a preferred embodiment the shell is at least partially crystalline. The advantage of a polycrystalline shell is that the defective grain boundary will allow gasses and other chemical species made of small molecules to permeate. One skilled in the art will appreciate that
15 annealing at relatively high temperatures will eliminate many grain boundaries. In one embodiment of the present invention the hollow nanocrystals have an extremely low crystallinity, so as to be almost amorphous. The hollow nanocrystals in the present invention are not perfectly single crystalline. In a preferred embodiment the nanocrystals are at least partially polycrystalline. In a more preferred embodiment they are between 10-100 %
20 polycrystalline, and in a more preferred embodiment they are between 50 and 100% polycrystalline, and in an even more preferred embodiment they are between 75 and 100% polycrystalline. The percentages as used herein refer to the total volume fraction of the crystalline grains in the hollow nanocrystal excepting the largest crystalline grain.

In one embodiment, the present invention contemplates that novel hollow
25 nanocrystals may be created by reacting two materials having different diffusion rates. While

5 Not wishing to be bound by any particular theory or principle, porosity may result from differential solid-state diffusion rates of the reactants in an alloying or oxidation reaction. In 1947, Smigelkas and Kirkendall reported the movement of the interface between a diffusion couple, i.e., copper and zinc in brass, as the result of the different diffusion rates of these two species at an elevated temperature, see Smigelskas et al, *Trans. AIME* 171, 130 (1947), the contents of which are hereby incorporated herein by reference in its entirety. This phenomenon, now called the Kirkendall Effect, was the first experimental proof that atomic diffusion occurs through vacancy exchange, not the direct interchange of atoms. The net directional flow of matter is balanced by an opposite flow of vacancies, which can condense into pores or annihilate at dislocations. Directional material flows also result from coupled reaction-diffusion phenomena at a solid/gas or solid/liquid interface, leading to deformation and/or void formation during the growth of metal oxide or sulfide films. These voids are usually explained by outward transport of fast-moving cations through the oxide layer and a balancing inward flow of vacancies to the vicinity of the metal-oxide interface. Interface motion and the formation of pores have been studied due to their impact on the reproducibility or reliability of solders, passivation layers, diffusion barriers etc., but not generally as a method of preparing porous materials. The pores produced at a metal-metal diffusion couple or near the metal-oxide interface of a growing oxide do not yield monodisperse, ordered arrays but form a very heterogeneous ensemble. Also, the observed volume fraction for pores is commonly much smaller than would be expected for the known material flows. These observations are a direct result of the large volume of material that vacancies can diffuse into and the large number of defects they can react with.

25 If the faster-diffusing species is confined into a nanocrystal 'core', termed the first material, or the main starting material in the present invention, the net rate of vacancy injection should increase dramatically due to the high surface-to-volume ratio of the particle

and the absence of defects in the core. Within the small volume of a transforming nanocrystal, the supersaturated vacancy cloud is likely to coalesce into a single void.

In one embodiment of the present invention Co nanocrystals are used as the main starting material. The prior art describes chemical methods to synthesize uniform Co nanocrystals in solution, see for example, non-limiting examples in C. B. Murray, C. R. Kagan, M. G. Bawendi, *Annu. Rev. Mater. Sci.* **30**, 545 (2000) and V. F. Puntès, K. M. Krishnan, A. P. Alivisatos, *Science* **291**, 2115 (2001), the contents of which are hereby incorporated by reference in its entirety for all purposes. In the method of the present invention, cobalt reacts readily with other species such as sulfur and oxygen. Since cobalt is the major component in one class of superalloys, its high-temperature oxidation and sulfidation have been well studied.

In one embodiment of the present invention, sulfidation of cobalt results in hollow nanostructures. Cobalt sulfide hollow nanospheres are synthesized in one pot by injection of a solution of sulfur in *o*-dichlorobenzene into a hot Co nanocrystal dispersion, FIG 1A where the Co nanocrystal is that is prepared by literature methods. At 445 K, the reaction between cobalt and sulfur completes within a few seconds, resulting in a stable black solution of cobalt sulfide nanocrystals. Confirmation has shown that hollow particles are produced at temperatures as low as 373 K. While not wishing to be bound by any particular theory or principle it appears that the chemical transformation of the surface does not disrupt the stabilization of the nanocrystals by surfactant molecules.

During the reaction of the sulfur with the hot nanocrystal solution, outward flow of cobalt through the sulfide shell results in supersaturation of vacancies, which condense to form a single hole in each nanoparticle (Figs. 1B-D). Two stable cobalt sulfide phases are observed, linnaeite (Co_3S_4) and cobalt pentlandite (Co_9S_8), depending on the sulfur-to-cobalt molar ratio used in the synthesis. One having ordinary skill in the art will be able to adjust the

5 sulfur-to-cobalt ratio to arrive at the desired composition of linnaeite and pentlandite. X-ray powder diffraction (XRD) patterns in FIG. 1E show the evolution of the crystal structure as the molar ratio of sulfur to cobalt is increased. Co_9S_8 is the only sulfide phase observed when the molar ratio is lower than 9:8, while Co_3S_4 also appears in the patterns when the molar ratio slightly exceeds this value. Only Co_3S_4 is obtained when the molar ratio of sulfur to cobalt is above 3:4. The size distribution of the sulfide hollow particles is similar to the starting cobalt nanocrystals.

10 In one embodiment of the invention, monodisperse hollow nanocrystals self-assemble into ordered hexagonal arrangements when evaporated slowly on the surface of a carbon coated TEM grid. The assembly process is driven by surface tension and van der Waals forces. Cobalt sulfide nanocrystals do not form superlattices as readily as cobalt nanocrystals do, probably due to a diminished van der Waals force and the absence of magnetic interactions among sulfide particles. Assemblies of hollow nanoparticles present a distinct topology of ordered porous materials. In terms of the accessibility of pores from the outside, 15 they fall between mesoporous materials with accessible channels and void lattices where pores are confined in a continuous matrix, see N. M. Ghoniem, D. Walgraef, S. J. Zinkle, *J. Comput. Aided Mater. Des.* 8, 1 (2001), the contents of which are hereby incorporated by reference in its entirety for all purposes.

20 Kinematical diffraction simulations indicate that the XRD peaks are too broad to be consistent with a single crystal shell of dimensions observed by TEM. A satisfactory fit to the data in FIG. 1E(d) is obtained by assuming a 4.5 nm cubic crystalline domain. TEM micrographs (FIG. 1D) of the same sample show that the average outer diameter of the hollow Co_9S_8 nanocrystals is around 15 nm. While not wishing to be bound by any particular theory, a reasonable explanation is that the shell of each hollow sphere is multi-crystalline. 25 This is confirmed by high resolution transmission electron microscopy (HRTEM). As shown

FIG. 1C, both Co_9S_8 and Co_3S_4 hollow nanocrystals are composed of multiple crystallographic domains. The arrangement of the domains is analogous to the columnar morphology of grains often observed in thin film growth.

In sulfidation of cobalt nanocrystals it is generally found that the diameter of the hole in the center of the hollow nanocrystals is 40-70% of the initial particle size (starting with Co particles with a size distribution of 7%, a single synthesis yields a hole size distribution of 13%). If sulfur transport through the growing shell is negligible, as shown for bulk sulfidation by marker experiments, then the two diameters are expected to be identical. While not wishing to be bound by any particular theory or principle, it is possible that significant inward sulfur transport could occur through grain boundaries or during the formation of the first few monolayers of sulfide. It is also possible that inward relaxation of the hole occurs, due to annihilation of vacancies at a semicoherent or incoherent cobalt-sulfide interface. Finally, the estimation of the hole size by visual inspection of TEM images may produce systematic errors. The possibility of inward sulfur transport is examined by performing the Co_3S_4 synthesis at different sulfur concentrations. Increased sulfur concentration increases hole size and enhances outward growth of the shell, indicating that cobalt mobility rather than sulfur mobility is affected. This finding is consistent with bulk sulfidation studies, where it is observed that an increased sulfur vapor pressure leads to injection of more cation vacancies into the growing sulfide and enhances the parabolic rate constant for sulfide growth.

In another embodiment of the present invention, cobalt nanocrystals are reacted with oxygen to produce hollow nanocrystals. For bulk cobalt, the rates of oxidation are 3-4 orders of magnitude lower than those of sulfidation above 750 K, see S. Mrowec, M. Danilewski, A. Wojtowicz, *J. Mater. Sci.* 33, 2617 (1998), the contents of which are hereby incorporated by reference for all purposes. This is also true under the conditions of the present invention, and oxidation of nanocrystals takes about three hours at 455 K. FIG. 2(A-D) shows the

●olution of the morphology of the nanocrystals with time as an O₂/Ar mixture is flowed through the cobalt colloidal solution. The XRD shows the presence of metallic cobalt up to 30 minutes (FIG. 2E). The solution of particles still displays weak ferrofluidic response to a 1T magnet at that point, suggesting that small cobalt cores remain. It takes about three hours for the cobalt cores to be completely consumed: central pores are clearly distinguishable for all nanocrystals under TEM and the solution shows no magnetic response.

In another embodiment of the present invention, cobalt is reacted with selenium. In accordance with the present invention, the evolution of hollow morphology is best illustrated by this reaction. In bulk systems, annihilation of excess vacancies at dislocations and boundaries can produce stresses leading to the formation of cracks near the interface; the cracks then act as nuclei for the further condensation of supersaturated vacancies, see F. Aldinger, *Acta Met.* 22, 923 (1974), the contents of which are hereby incorporated by reference in its entirety for all purposes. While the exact mechanism is likely to be different, in nanocrystals voids also begin to develop and merge at the boundary (FIG 3A-3E). The high defect content and surface energy associated with the boundary favors the nucleation of voids there. Also, as vacancies diffuse inwards, they will be more concentrated at the boundary rather than in the interior of the core. As the reaction proceeds in time, more cobalt atoms diffuse out to the shell and the accompanying transport of vacancies leads to growth and merging of the initial voids. This results in the formation of bridges of material between the core and the shell that persist until the core is completely consumed. These bridges provide a fast transport path for outward diffusion of cobalt atoms which can then spread on the inner shell surface. A similar phenomenon was observed for bulk powders.

It is preferred that the nanocrystal core used in the present invention have a minimum size because of the lower efficiency of conversion of atomic diffusion into void growth when the cores become relatively small. In a preferred embodiment the minimum size is 5 nm for

the core. Most of the pore volume seems to form during the first few minutes, while it takes about 30 minutes for the cobalt cores to completely disappear. This may be due to the fact that as the bridges are also consumed during the reaction, a smaller cross-sectional area is available for solid-state transport of materials.

5 Disk shaped hollow nanocrystals may be made in accordance with one embodiment of this invention. The starting material or first nanocrystal must have a disk shape. Disk shaped Co nanocrystals may be made according to the prior art methods such as V. F. Puentes, D. Zanchet, C. K. Erdonmez, A. P. Alivisatos, *J. Am. Chem. Soc.* **124**, 12874 (2002), the contents of which are hereby incorporated by reference in its entirety for all purposes. In one
10 embodiment of the present invention, sulfidation of disk-shaped Co nanocrystals was observed to result in the formation of hollow nanodisks with cylindrical pores, see FIG 5, indicating that spherical symmetry is not required for obtaining shells of regular thickness.

In one embodiment of the present invention, it is contemplated that metal core materials may be used as preliminary main materials for hollow nanocrystals. It is to be
15 understood that the formation of the metal core material used as a preliminary main material is known in the prior art, and is not the subject of this invention. The prior art appreciates that various sizes of particles can be made using different techniques, for example varying the amount of surfactant, usually with a greater amount of surfactant leading to smaller particles.

The present invention contemplates that the core material may be coated with a thin
20 layer of a second material. Upon heating at a sufficient temperature, diffusion will occur and result in a hollow nanocrystal.

The core material used as a preliminary main material may be elemental or an alloy. In a preferred embodiment the core material used as a preliminary main material for the formation of hollow nanocrystals be elemental. Metals include the main group metals, Al,
25 Ga, In, Tl, Sn, Pb, Bi and Po; the transition metals Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Y, Zr,

Co, Mo, Tc, Ru, Rh, Pd, Ag, Cd, La, Hf, Ta, W, Re, Os, Ir, Pt, Au, Hg, the alkali and alkaline earth metals Li, Na, K, Rb, Cs, Be, Mg, Ca, Sr, Ba and the semiconductor metals Ge, Si, Se, Te. Alloys include, but are not limited to FeCo, CoNi and CdZn. It would not be possible to obtain structures containing carbon as the major constituent material, but various carbon nanostructures, including diamond nanoparticles or carbon nanotubes may be utilized as the starting material and reacted with other species to obtain carbon-alloyed hollow nanostructures, for example hollow nanospheres of steel may be synthesized by reacting a diamond nanospheres with a suitable iron source. This resulting structure will be chemically and crystallographically very different from sheet-like structures such as buckminsterfullerenes or carbon nanotubes. Halogens and noble gases are not suitable. One having ordinary skill in the art will appreciate that the stability and reactivity of the core material in solution and under reaction conditions will impact on the success of the present method and the quality of the hollow nanocrystals derived therefrom. For example, alkali earth metals are less stable and would require more stringent reaction conditions for success.

The material chosen to react with the metal core material used as a preliminary main material does not have to be elemental. It is only required that the material be capable of donating that element or compound of interest. As a non-limiting example, for the sulfidation of Co, all that is required is a compound capable of donating sulfur. One skilled in the art will readily appreciate without undue experimentation the compositions capable of donating sulfur in the reaction solution.

As non-limiting examples, hollow nanocrystals in accordance with the present invention may comprise ZnS, ZnSe, ZnTe, ZnO and other oxides of the core materials such as CoO, Co₃O₄, Fe₂O₃, TiO₂ and Al₂O₃; Cd cores may produce cadmium hollow nanocrystals such as CdS by sulfidation; other non-limiting examples of sulfur compounds include those of other metals including Ni, such as Ni₅S₂; other Ni compounds include Ni₂Si, NiSi and

● Cl₂; other cadmium compounds include CdSe, CdTe, etc.; though difficult, Hg cores may produce hollow , HgS, HgSe, HgTe; other hollow nanocrystals include MgTe and the like, GaN, GaP, GaAs, GaSb, InN, InP, InAs, InSb, AlAs, AlP, AlSb, AlS, and the like, Co₉S₈, Co₃S₄ and CoSe. Also included are ternary material systems such as GaMnAs, GaInN and
5 InAsN.

In accordance with the present invention, the mobilities of the reacting species, the first material and the second material are not necessarily chosen such that they are drastically different to result in vacancy transport. The invention contemplates that placing solid nanocrystals containing one reactant in a comparatively dilute solution creates an additional
10 asymmetry that may favor the creation of hollow structures: the relatively large change in the concentration of the core material between the core and the solution provides a greater driving force for the outward diffusion of the core material. Thus numerous combinations of reactants will produce various hollow nanostructure shells functioning as insulators, semiconductors and even metals.

15 Hollow nanocrystals offer exciting possibilities in material design for applications in catalysis, nanoelectronics, nano-optics, drug delivery systems, and as building blocks for light-weight structural materials.

Examples

Example 1

20 Synthesis of Cobalt Pentlandite Hollow Nanocrystals (Co₉S₈)

0.54 g of Co₂(CO)₈ in 3 ml of *o*-dichlorobenzene was rapidly injected into a hot solution of 0.1 ml of oleic acid and 0.1 g of trioctylphosphine oxide in 15 ml of *o*-dichlorobenzene at 455 K. The decomposition of Co₂(CO)₈ leads to the formation of cobalt nanocrystals having sizes approximately 10 nm. After approximately one minute, 0.085 g sulfur solution in 5 ml *o*-
25 dichlorobenzene was injected into the hot cobalt nanocrystal solution. The reaction was

pped after about two minutes by cooling the system to room temperature. TEM measurement shows the hollow morphology of the nanocrystals and XRD analysis proves the material to be Co_9S_8 . The size was about 15 nm.

Example 2

5 Synthesis of Linnaeite (Co_3S_4) Hollow Nanocrystals

Co nanocrystals were prepared as described in Example 1. After 1 min, 0.128 g sulfur solution in 5 ml *o*-dichlorobenzene was injected into the hot cobalt nanocrystal solution. The reaction was stopped after about two minutes by cooling the system to room temperature. TEM measurement shows the hollow morphology of the nanocrystals and XRD analysis
10 proves the material to be Co_3S_4 . The size was about 15 nm.

Example 3

Synthesis of Cobalt Oxide (CoO) Hollow Nanocrystals

Co nanocrystals were prepared as described in Example 1. After 1 min, a stream of O_2/Ar (1:4 in volume ratio, 120 ml/min) mixture was blown through a cobalt colloidal solution at
15 455 K for 210 minutes. The reaction is stopped by cooling the system to room temperature. TEM measurement shows the hollow morphology of the nanocrystals and XRD analysis proves the material to be CoO . The size was about 15 nm.

Example 4

Synthesis Cobalt Oxide (Co_3O_4) Hollow Nanocrystals

20 Co nanocrystals were prepared as described in Example 1. After 1 min, a suspension of 0.45 g Trimethylamine N-oxide in 5 ml *o*-dichlorobenzene is injected into the hot cobalt nanocrystal solution. The reaction is stopped after two minutes by cooling the system to room temperature. TEM measurement shows the hollow morphology of the nanocrystals and XRD analysis proves the material to be Co_3O_4 . The size was about 15 nm.

25 Example 5

Synthesis of Cobalt Selenide (CoSe) Hollow Nanocrystals

Co nanocrystals were prepared as described in Example 1. After 1 min, a suspension of 0.237 g selenium in 5 ml *o*-dichlorobenzene is injected into the hot cobalt nanocrystal solution. The reaction was stopped after about 30 minutes by cooling the system to room temperature. TEM measurement shows the hollow morphology of the nanocrystals and XRD analysis proves the material to be CoSe. The size was about 15 nm.

Example 6

Synthesis of Cadmium Sulfide (CdS) Hollow Spherical Nanocrystals

0.157 g of dimethyl cadmium ($\text{Cd}(\text{CH}_3)_2$) in 1 ml of dioctyl ether was rapidly injected into a hot solution of 0.4 ml of oleic acid in 5 ml of dioctyl ether at 455 K. The decomposition of $\text{Cd}(\text{CH}_3)_2$ leads to the formation of cadmium spherical nanocrystals approximately 25 nm in diameter. After approximately two hours, 0.07 g sulfur solution in 5 ml of dioctyl ether was injected into the hot cadmium nanocrystal solution. The synthesis was carried out under an argon atmosphere. The reaction was stopped after about two hours by cooling the system to room temperature. TEM measurement shows the hollow morphology of the spherical nanocrystals and XRD analysis proves the material to be CdS. The size was about 40-50 nm.

Example 7

Synthesis of Iron Oxide ($\alpha\text{-Fe}_2\text{O}_3$) Hollow Spherical Nanocrystals

0.4 g of $\text{Fe}(\text{CO})_5$ in 3 ml of *o*-dichlorobenzene was rapidly injected into a hot solution of 0.05 ml of oleic acid and 0.1 g of trioctylphosphine oxide in 15 ml of *o*-dichlorobenzene at 455 K. The decomposition of $\text{Fe}(\text{CO})_5$ leads to the formation of spherical iron nanocrystals having sizes approximately 10 nm. After approximately 160 minutes, a stream of O_2/Ar (1:4 in volume ratio, 120 ml/min) mixture was blown through the iron colloidal solution at 455 K for 260 minutes. The reaction was then stopped by cooling the system to room temperature.

TEM measurement shows the hollow morphology of the nanocrystals and XRD analysis proves the material to be α -Fe₂O₃.

Example 8

Synthesis of Cobalt Sulfide (Co₉S₈) Hollow Disk-shape Nanocrystals

5 0.54 g of Co₂(CO)₈ in 3 ml of *o*-dichlorobenzene was rapidly injected into a hot solution of 0.1 ml of oleic acid, 0.6 g hexadecylamine and 0.1 g of trioctylphosphine oxide in 15 ml of *o*-dichlorobenzene at 455 K. The decomposition of Co₂(CO)₈ leads to the formation of cobalt disk-shape nanocrystals approximately 5 nm in thickness and 30 nm in diameter. After approximately one minute, 0.085 g sulfur solution in 5 ml *o*-dichlorobenzene was injected
10 into the hot cobalt nanocrystal solution. The synthesis was carried out under an Argon atmosphere. The reaction was stopped after about two minutes by cooling the system to room temperature. TEM measurement shows the hollow morphology of the disk-shape nanocrystals and XRD analysis proves the material to be Co₉S₈.

Example 9

15 Synthesis of Cadmium Sulfide (CdS) Hollow Nanotubes

0.17 g of Cd(CH₃)₂ in 1 ml of dioctyl ether was rapidly injected into a hot solution of 0.1 ml of oleylamine in 5 ml of dioctyl ether at 573 K. The decomposition of Cd(CH₃)₂ leads to the formation of cadmium nanowires approximately 50-150 nm in diameter and up to 100 μ m in length. After approximately 90 minutes, 0.047 g sulfur solution in 3 ml of dioctyl ether was
20 injected into the hot cadmium nanowire solution. The synthesis was carried out under an argon atmosphere. The reaction was stopped after about 15 minutes by cooling the system to room temperature. TEM measurement shows the hollow morphology of the nanotubes and XRD analysis proves the material to be CdS.

Example 10

Synthesis of Platinum Hollow Nanocrystals

Cobalt nanocrystals may be made as described in Example 1. After 1 min, a solution of platinum acetylacetonate in 5 ml *o*-dichlorobenzene may be injected into the hot cobalt nanocrystal solution. The redox reaction between the metallic cobalt core and platinum cations will result in the formation of hollow platinum nanocrystals.

Example 11

Synthesis of Nickel Silicides Nanotubes

An array of Si nanowires can be synthesized using well-known VLS methods. The nanowires can be coated by exposing the array to a suitable source of metallic Ni, such as Ni vapor in a vapor deposition chamber or preferably a hot solution of an organometallic precursor, such as $\text{Ni}(\text{CO})_4$ or $\text{Ni}(\text{COD})_2$, dissolved in an organic solvent, such as *o*-dichlorobenzene. Annealing such coated nanowires in the temperature range 250-400 °C will yield hollow tubes of a compound whose specific composition (e.g. Ni_5Si_2 , Ni_2Si , NiSi) determined by the relative amounts of Si and Ni before the anneal.

Example 12

Synthesis of Nickel Chloride (NiCl_2) Hollow Nanocrystals

Ni nanocrystals can be prepared by a thermal decomposition method which is similar to the process in synthesizing Co nanocrystals as described in Example 1. An organometallic precursor such as $\text{Ni}(\text{CO})_4$ dissolved in an organic solvent, such as toluene can be injected into a hot solution of oleic acid and trioctylphosphine oxide in toluene. The decomposition of the precursor will lead to the formation of Ni nanocrystals. Hollow NiCl_2 can be produced by blowing a stream of Cl_2 through the Ni colloidal solution.

The terms and expressions which have been employed herein are used as terms of description and not of limitation, and there is no intention in the use of such terms and

expressions of excluding equivalents of the features shown and described, or portions thereof, it being recognized that various modifications are possible within the scope of the invention claimed. Moreover, any one or more features of any embodiment of the invention may be combined with any one or more other features of any other embodiment of the invention, 5 without departing from the scope of the invention.

All patents, patent applications, and publications mentioned above are herein incorporated by reference in their entirety for all purposes. None of the patents, patent applications, and publications mentioned above are admitted to be prior art.

WHAT IS CLAIMED IS:

1. A hollow nanocrystal, comprising:

a nanocrystal shell having a thickness of at least 0.5 nm,
said nanocrystal shell enveloping an empty space, wherein,
said nanocrystal shell is not perfectly single crystalline.

2. The hollow nanocrystal as claimed in claim 1, wherein:

the shell thickness is between about .5 nm and 100 nm.

3. The hollow nanocrystal as claimed in claim 2, wherein:

the shell thickness is between about 2 nm and 80 nm.

4. The hollow nanocrystal as claimed in claim 3, wherein:

the shell thickness is between about 3 nm and 10 nm.

5. The hollow nanocrystal as claimed in claim 1, wherein:

the shell comprises a material selected from the group consisting of

Pt, ZnS, ZnSe, ZnTe, ZnO, CoO, Co₃O₄, Fe₂O₃, FeP, Fe₃O₄, FeO, TiO₂, CdS,
CdSe, CdTe, HgS, HgSe, HgTe, MgTe, GaN, GaP, GaAs, GaSb, InN, InP, InAs,
InSb, AlAs, AlP, AlSb, AlS, Co₉S₈, Co₃S₄, CoSe, GaMnAs, GaInN and InAsN.

6. The hollow nanocrystal as claimed in claim 1, wherein:

the shell comprises a material selected from the group consisting of Co₉S₈, Co₃S₄,
CoO, Co₃O₄, CoSe, CdS, Fe₂O₃, CdSe and Pt.

7. The hollow nanocrystal as claimed in claim 5, wherein:

the shape of the hollow nanocrystal is either spherical, branched, tubular or disk.

8. The hollow nanocrystal as claimed in claim 7, wherein:

the shape of the nanocrystal is spherical, and

the outside diameter is between about 1 nm and 1000 nm.

9. The hollow nanocrystal as claimed in claim 8, wherein:
the outside diameter is between 1 nm and 500 nm.
10. The hollow nanocrystal as claimed in claim 9, wherein:
the outside diameter is between 5 nm and 100 nm.
- 5 11. The hollow nanocrystal as claimed in claim 10, wherein:
the outside diameter is between 10 nm and 50 nm.
12. The hollow nanocrystal as claimed in claim 11, wherein:
the outside diameter is between 10 nm and 30 nm.
13. The hollow nanocrystal as claimed in claim 1, wherein:
10 the hollow nanocrystal shell comprises a binary or ternary compound, wherein
said binary and/or ternary compound comprises a first material and a second
material, wherein:
the first material comprises a material selected from the group consisting of Pt,
Zn, Co, Fe, Ti, Cd, Hg, Mg, Ga, In, Al, Ni, Sn and Bi; and
15 the second material is selected from the group consisting of S, Se, O, P, N, F, Cl,
I, Br, As and Sb.
14. The hollow nanocrystal as claimed in claim 13, wherein:
the diffusion rate for the first material is different than the diffusion rate for the
second material.
- 20 15. The hollow nanocrystal as claimed in claim 7, wherein:
the nanocrystal has a disk shape, and
the outside diameter is between about 10 nm to about 200 nm.
16. The hollow nanocrystal as claimed in claim 15, wherein:
the outside diameter is between about 10 nm and 100 nm.
- 25 17. The hollow nanocrystal as claimed in claim 15, wherein:

the outside diameter is between about 25 nm and 50 nm.

18. The hollow nanocrystal as claimed in claim 7, wherein:

the nanocrystal has a tubular shape, and

the length is between about 30 nm to about 500 μm .

19. The hollow nanocrystal as claimed in claim 18, wherein:

the length is between about 50 nm and 200 μm .

20. The hollow nanocrystal as claimed in claim 19, wherein:

the length is between about 50 nm and 20 μm .

21. A method of making a hollow nanocrystal, comprising

providing a nanocrystal comprising a first material,

reacting the nanocrystal with a second material,

wherein the first and second material react to form a shell of a hollow nanocrystal.

22. A method of making a hollow nanocrystal as claimed in claim 21, wherein:

the first material comprises a material chosen from the group consisting of

Al, Ga, In, Tl, Sn, Pb, Bi, Po, Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Y, Zr, Nb, Mo, Tc, Ru, Rh, Pd, Ag, Cd, La, Hf, Ta, W, Re, Os, Ir, Pt, Au, Hg, Li, Na, K, Rb, Cs, Be, Mg, Ca, Sr, Ba, Ge, Si, Se, Te, FeCo, CoNi and CdZn.

23. A method of making a hollow nanocrystal as claimed in claim 21, wherein:

the second material comprises a material chosen from the group consisting of S,

O, Se, Te, P, N, As, Cl, I, Br and Bi.

24. A method of making a hollow nanocrystal as claimed in claim 23, wherein:

the second material comprises a material chosen from the group consisting of S, O, Se and Te.

25. A method of making a hollow nanocrystal as claimed in claim 21, wherein:

the second material comprises sulfur in solution, and

the second material is combined with a solution containing the first material to make a sulfide hollow nanocrystal.

26. A method of making a hollow nanocrystal as claimed in claim 21, wherein:

the second material comprises O, and

a gaseous mixture containing the second material is combined with a solution containing the first material,

thereby making an oxide hollow nanocrystal compound.

27. A method of making a hollow nanocrystal as claimed in claim 21, wherein:

the second material comprises O, and

and the second material is in solution and is combined with a solution containing the first material,

thereby making an oxide hollow nanocrystal compound.

ABSTRACT

- 5 Described herein are hollow nanocrystals having various shapes that can be produced by a simple chemical process. The hollow nanocrystals described herein may have a shell as thin as 0.5 nm and outside diameters that can be controlled by the process of making.

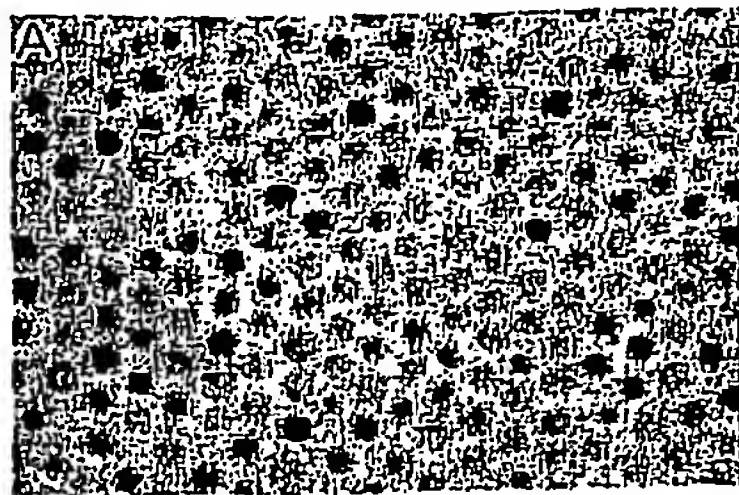


FIG 1A

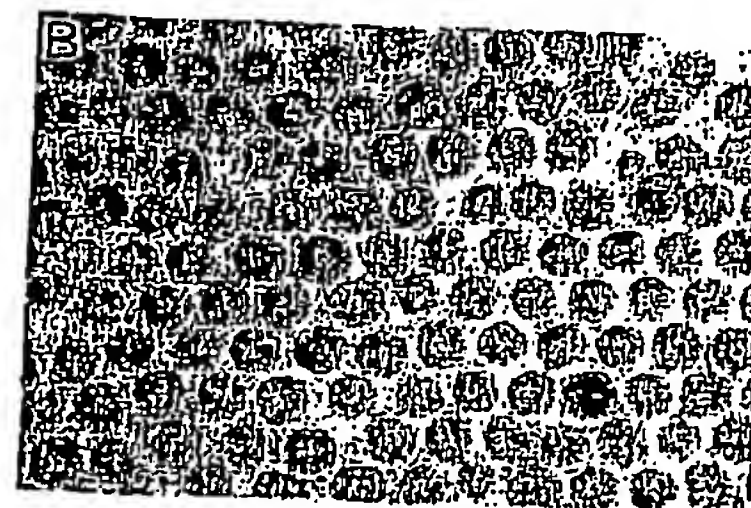


FIG 1B

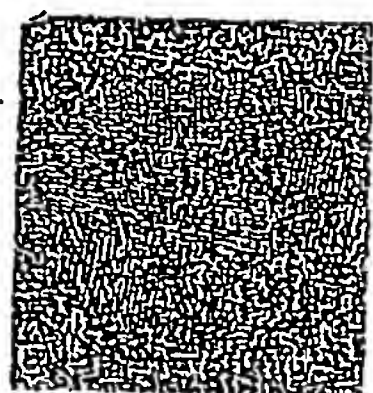


FIG 1C

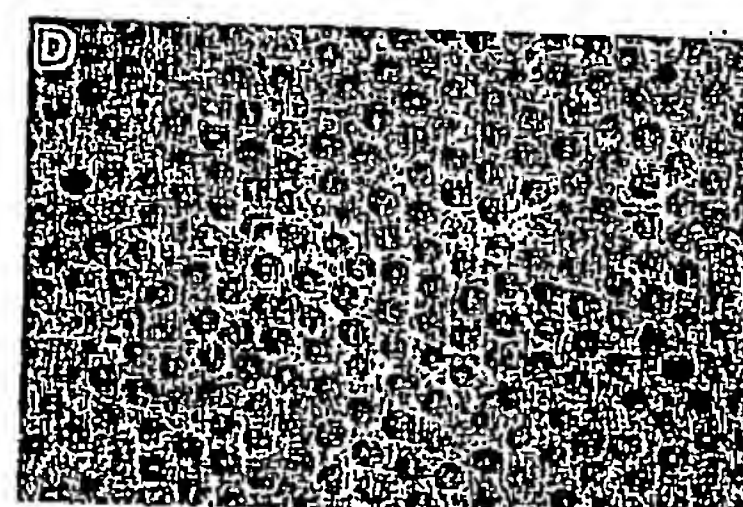


FIG 1D

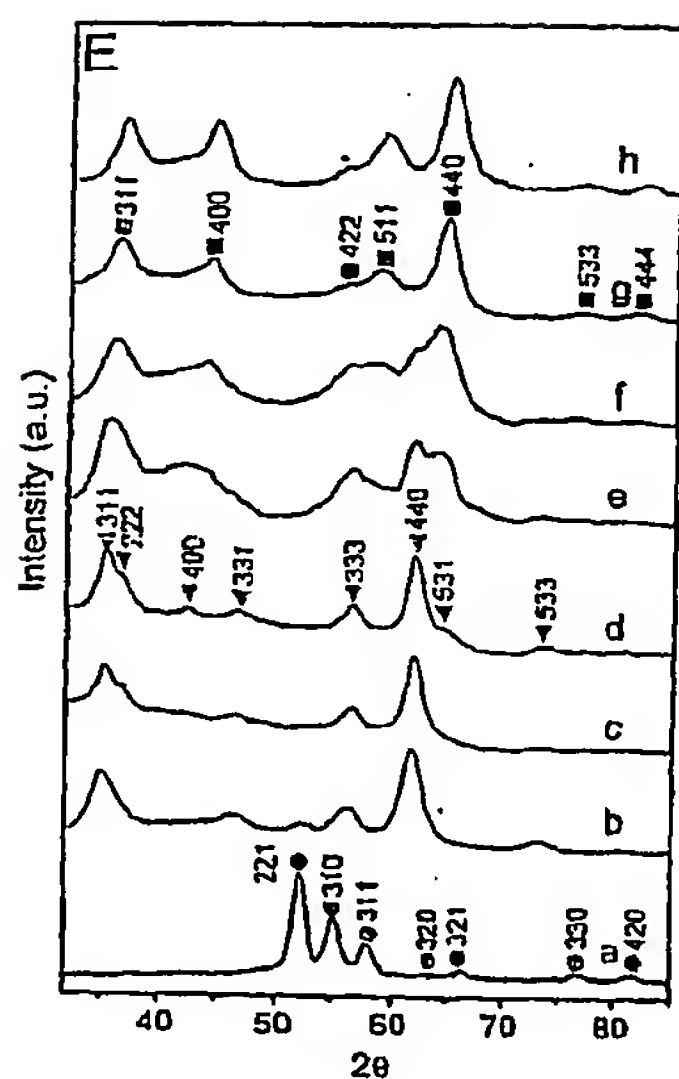


FIG 1E

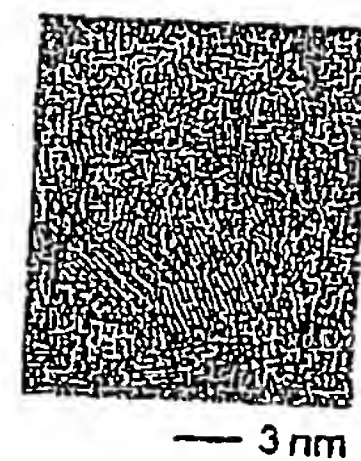


FIG 1F

FIG 2A

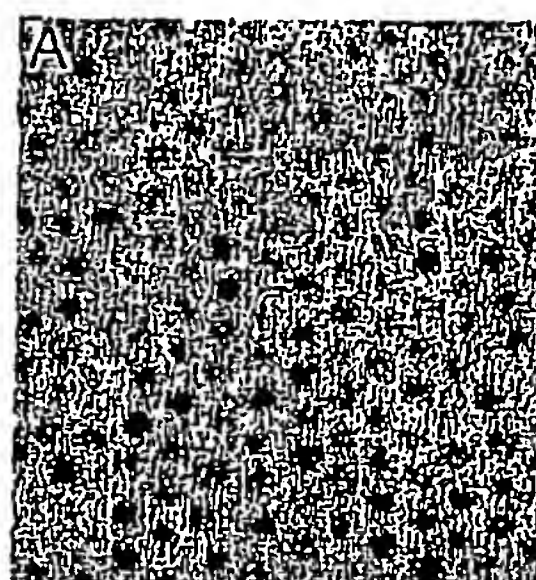


FIG 2B

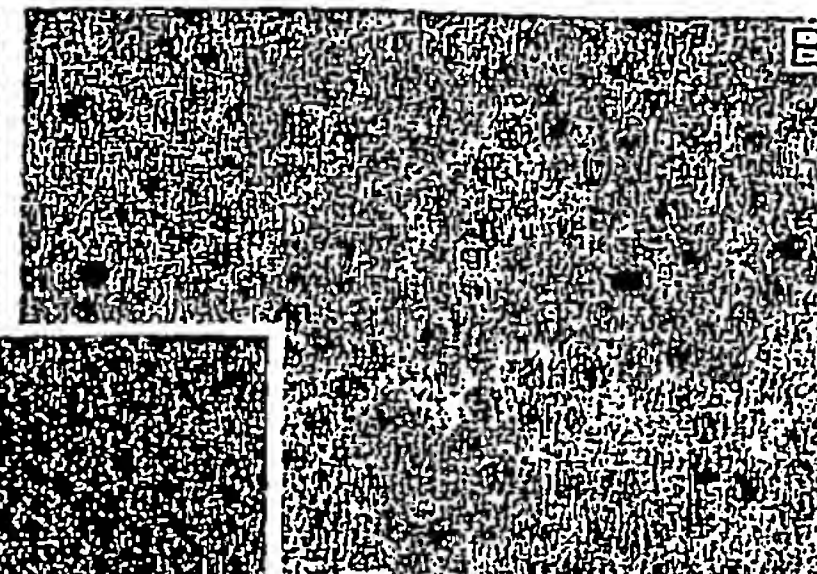


FIG 2C

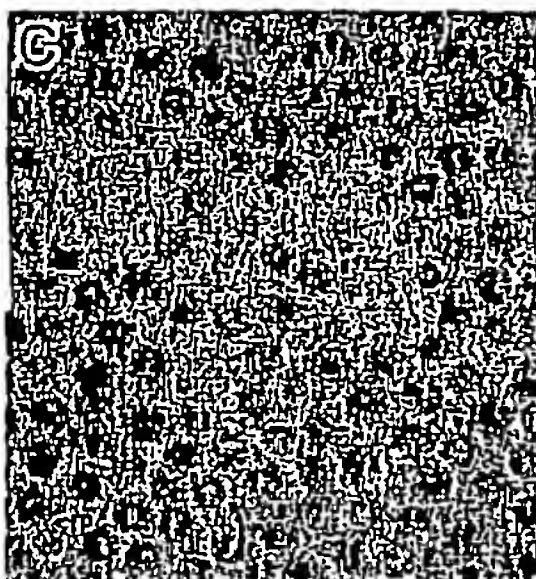


FIG 2D

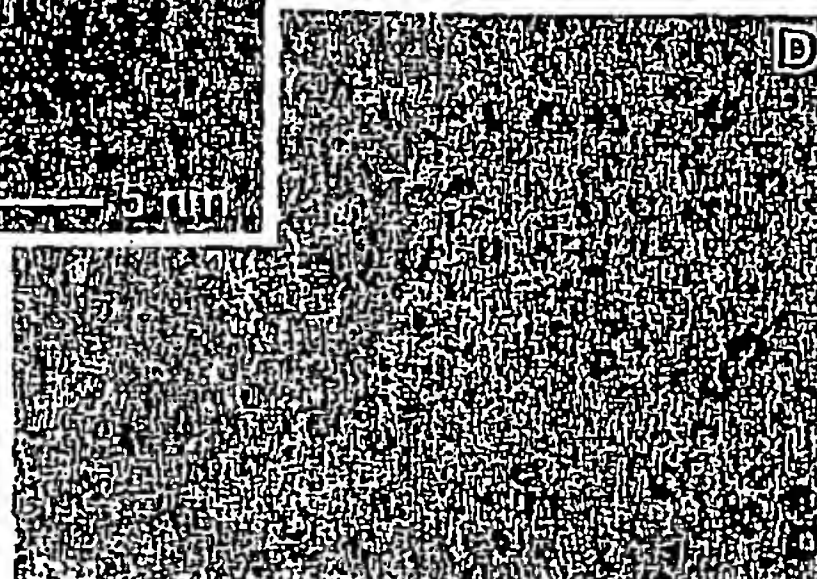
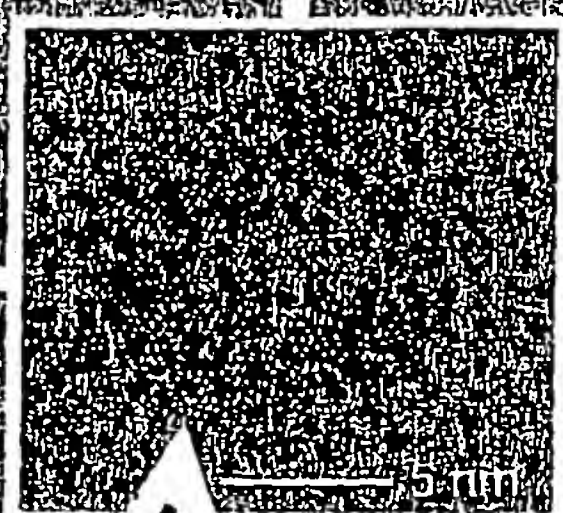


FIG 2F



50 nm

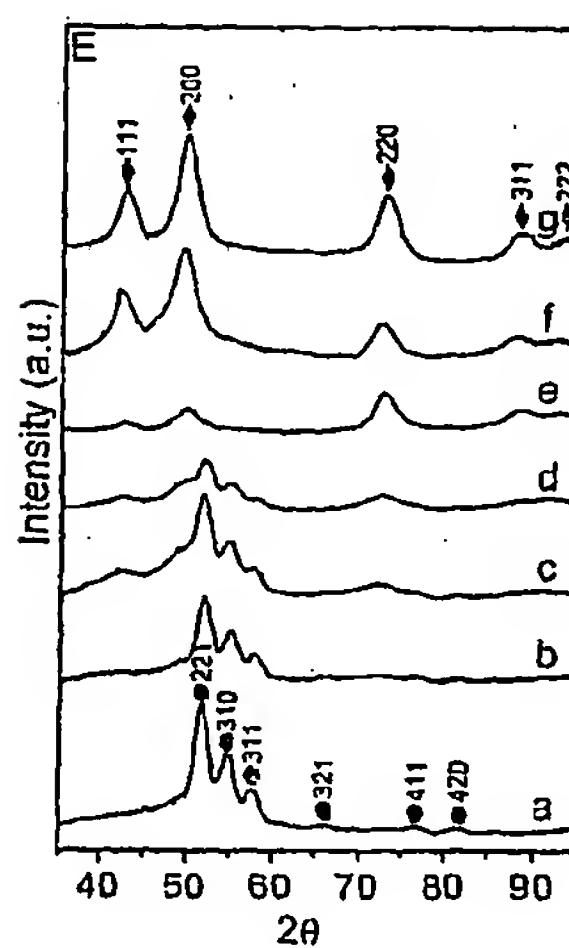


FIG 2E

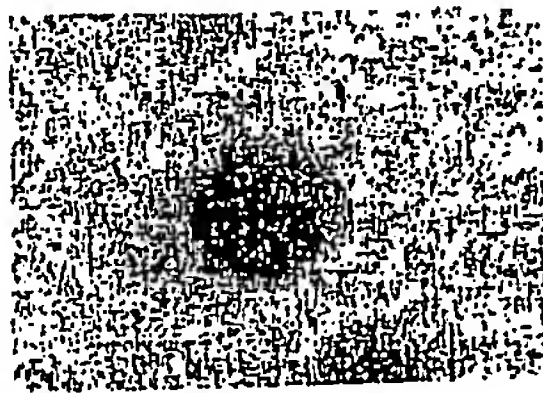


FIG 3A

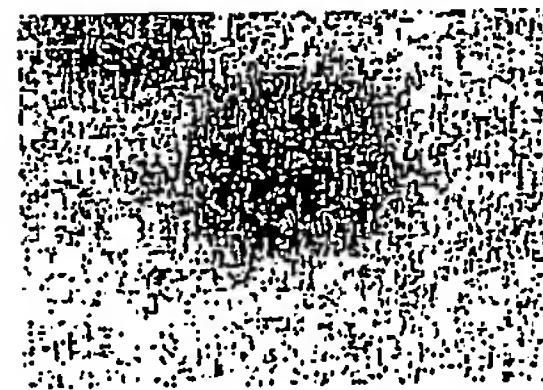


FIG 3B

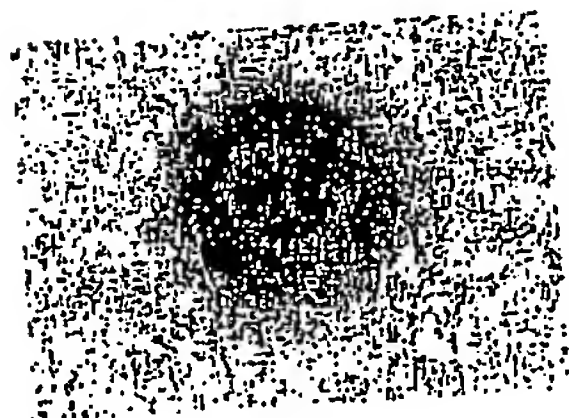


FIG 3C

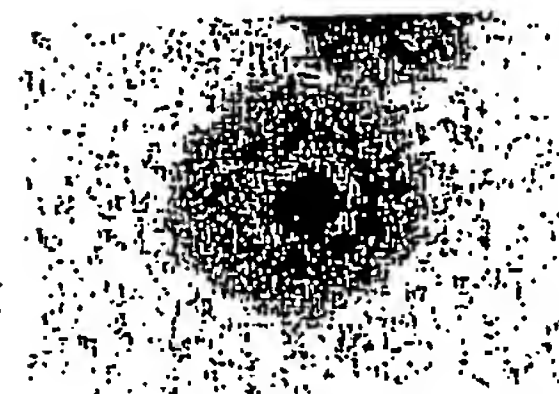


FIG 3D



FIG 3E



— 20 nm

FIG 3F

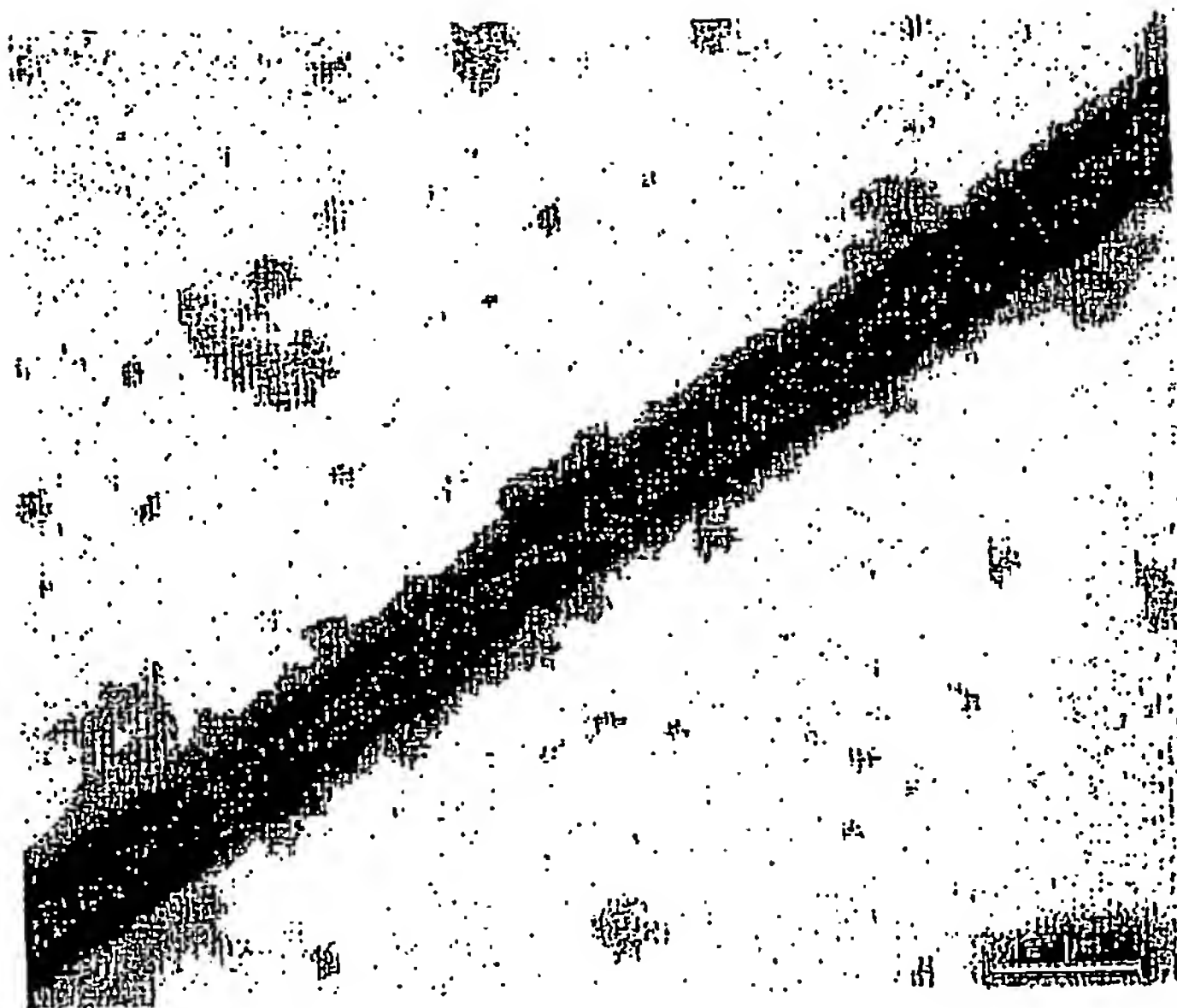


FIG 4

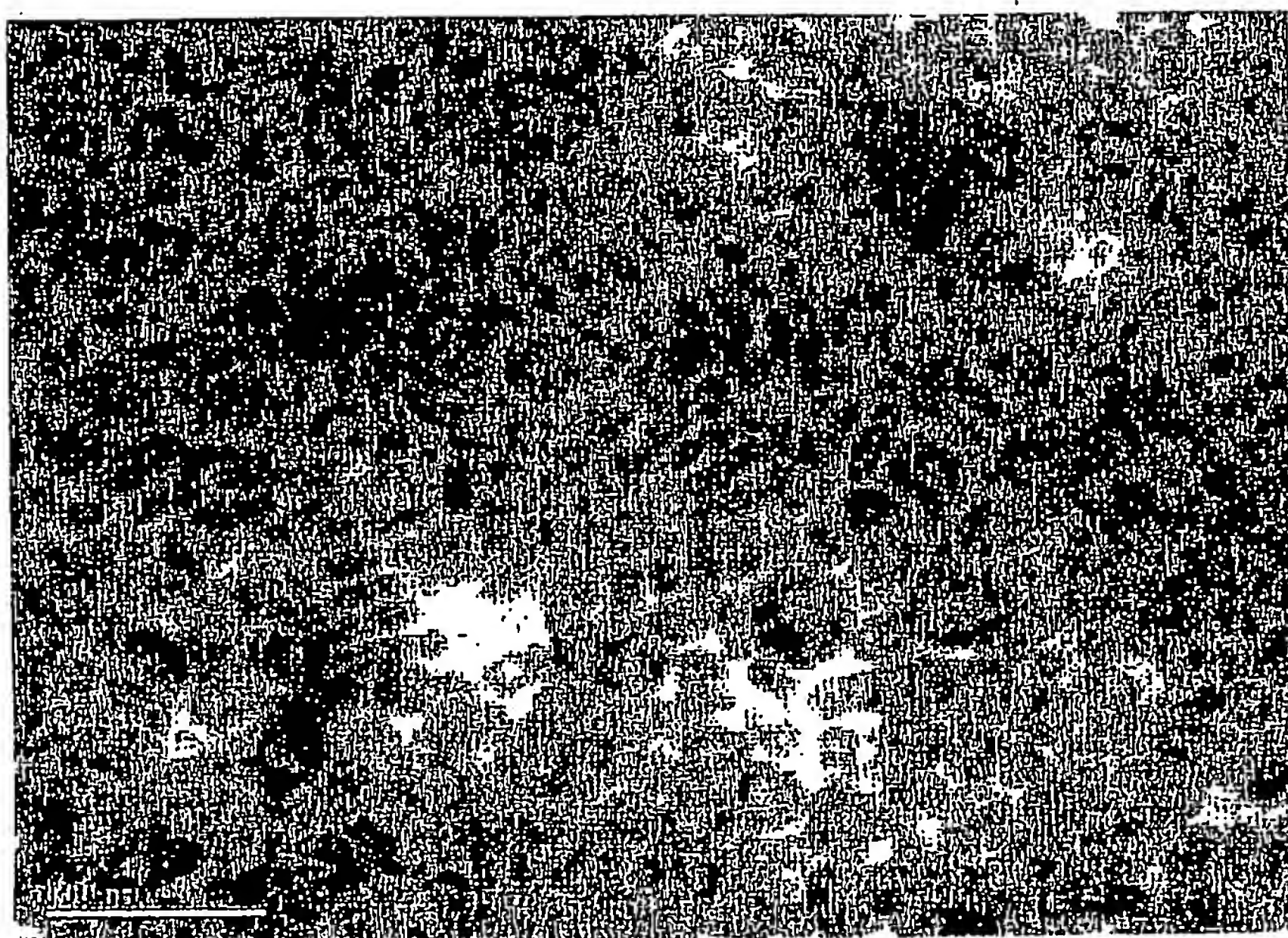


FIG 5

PCTNOTIFICATION CONCERNING
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Date of mailing (day/month/year) 10 February 2006 (10.02.2006)	
Applicant's or agent's file reference IB-2018PCT	IMPORTANT NOTIFICATION
International application No. PCT/US2005/009333	International filing date (day/month/year) 22 March 2005 (22.03.2005)
International publication date (day/month/year) Not yet published	Priority date (day/month/year) 22 March 2004 (22.03.2004)
Applicant THE REGENTS OF THE UNIVERSITY OF CALIFORNIA et al	

1. By means of this Form, which replaces any previously issued notification concerning submission or transmittal of priority documents, the applicant is hereby notified of the date of receipt by the International Bureau of the priority document(s) relating to all earlier application(s) whose priority is claimed. Unless otherwise indicated by the letters "NR", in the right-hand column or by an asterisk appearing next to a date of receipt, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).

2. (If applicable) The letters "NR" appearing in the right-hand column denote a priority document which, on the date of mailing of this Form, had not yet been received by the International Bureau under Rule 17.1(a) or (b). Where, under Rule 17.1(a), the priority document must be submitted by the applicant to the receiving Office or the International Bureau, but the applicant fails to submit the priority document within the applicable time limit under that Rule, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

3. (If applicable) An asterisk (*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b) (the priority document was received after the time limit prescribed in Rule 17.1(a) or the request to prepare and transmit the priority document was submitted to the receiving Office after the applicable time limit under Rule 17.1(b)). Even though the priority document was not furnished in compliance with Rule 17.1(a) or (b), the International Bureau will nevertheless transmit a copy of the document to the designated Offices, for their consideration. In case such a copy is not accepted by the designated Office as the priority document, Rule 17.1(c) provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

Priority date	Priority application No.	Country or regional Office or PCT receiving Office	Date of receipt of priority document
22 March 2004 (22.03.2004)	60/555,590	US	16 January 2006 (16.01.2006)

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